



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
FORM PTO-1449 (modified)

Sheet 1 of 18

Application No.	10/032,325
Filing Date	March 4, 2002
First Named Inventor	Ronald J. Perholtz et al.
Group Art Unit	2145
Examiner Name	Swearingen, Jeffrey R.
Attorney Docket No.	2540-0550
Confirmation No.	6319

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	T <sup>1</sup>
	1-1	93 03 716.3	Nov., 1993		
	1-2	DE-90 10 535	2/7/1991		
	1-3	DE-92 03 884	9/10/1992		
	1-4	EP 0 588 025 A2	3/23/1994		
	1-5	EP-0 174 99	3/12/1986		
	1-6	GB 2276509	9/28/1994	Park, Tae Jin	
	1-7	JP-2-207299	8/16/1990		
	1-8	JP-3-116091	5/17/1991		
	1-9	JP-3-201882	9/3/1991		
	1-10	JP-3-279997	12/11/1991		
	1-11	JP-3412823	3/28/2003		
	1-12	JP-3-80795	4/5/1991		
	1-13	JP-3-87790	4/12/1991		
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	1-16	JP-4-215159	8/5/1992		
	1-17	JP-4-39450	2/10/1992		
	1-18	JP-5-14682	1/22/1993		
	1-19	JP-5-158451	6/25/1993		
	1-20	JP-5-210383	8/20/1993		
	1-21	JP-5-227453	9/3/1993		
	1-22	JP-5-265977	10/15/1993		
	1-23	JP-5-27721	2/5/1993		
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	1-25	JP-5-299984	11/12/1993		
	1-26	JP-5-4668	1/22/1993		

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	2-1	JP-5-61445	3/12/1993		
	2-2	JP-57-158883	9/30/1982		
	2-3	JP-5-76068	3/26/1993		
	2-4	JP-58-100587	6/15/1983		
	2-5	JP-5-81196	4/2/1993		
	2-6	JP-6-110520	4/22/1994		
	2-7	JP-61-1138	1/7/1986		
	2-8	JP-61-187066	8/20/1986		
	2-9	JP-6-133240	5/13/1994		
	2-10	JP-6-133243	5/13/1994		
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	2-12	JP-62-76838	4/8/1987		
	2-13	JP-63-313256	12/21/1988		
	2-14	JP-64-64482	3/10/1989		
	2-15	JP-6-51729	2/25/1994		
	2-16	JP-6-81021	11/15/1994		
	2-17	JP-6-95639	4/8/1994		
	2-18	JP-7-104901	4/21/1995		
	2-19	JP-7-107385	4/21/1995		
	2-20	JP-7-141279	6/2/1995		
	2-21	JP-7-154680	6/16/1995		
	2-22	JP-7-15681	1/17/1991		
	2-23	JP-7-15742	1/17/1995		
	2-24	JP-7-200471	8/4/1995		
	2-25	JP-7-46557	2/14/1995		
	2-26	JP-9-510523	9/9/1999		

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	3-1	WO 90/01733	2/22/1990	Sozanski, Jean-Pierre	
	3-2	WO 94/19749	Sep., 1994		
	3-3	WO 95/01055	Jan., 1995		
	3-4	WO 97/08625	3/6/1997		
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	4-1	"10comm ThinkSync II CM-2131T: Eye-Pleasing Trinitron CRT", Windows Sources: The Magazine for Windows Experts, vol. 1, No. 3, pp. 240-241 and 244, Apr. 1993.	
	4-2	"Electron Components: On Screen Display Ics", NEC Corporation, Apr. 1994.	
	4-3	"Genlocking" Tech Note, S3 Incorporated, Sep. 1993.	
	4-4	"Goldstar Unveils 5 Upgrade . . .", HFD the Weekly Home Furnishings Newspaper, vol. 68, No. 10, Mar. 7, 1994.	
	4-5	"Lotus Brand Portable, Home TVs Bow", HFD The Weekly Home Furnishings Newspaper, vol. 64, No. 28, pp. 106 and 123, Jul. 9, 1990.	
	4-6	"MS Left Breathless by Jaunt through Eugene, OR, Video Countryside", Consumer Electronics, vol. 16, No. 4, Apr. 1988.	
	4-7	"Panasonic VHS VCR Series Features Rounded Contours" HFD The Weekly Home Furnishings Newspaper, pp. 71 and 84, Jan. 5, 1987.	
	4-8	"Panasonic's New Video Line Offers More Styling, User-Friendly Features", HFD The Weekly Home Furnishings Newspaper, Jul. 1992.	
	4-9	"Sharp: Recording MD Player Due in Early '94", HFD the Weekly Home Furnishings Newspaper, Jul. 1994.	
	4-10	Abstract of "JP-04039450"	

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	5-1	Abstract of "JP-04177525"	
	5-2	Abstract of "JP-05027721"	
	5-3	Abstract of "JP-05061445"	
	5-4	Abstract of "JP-05081196"	
	5-5	Abstract of "JP-06133240"	
	5-6	Abstract of "JP-06133243"	
	5-7	Abstract of "JP-07014279"	
	5-8	Abstract of "JP-07104901"	
	5-9	Abstract of "JP-1064482"	
	5-10	Abstract of "JP-3080795"	

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	6-2	Abstract of "JP-412555"	
	6-3	Abstract of "JP-4177525"	
	6-4	Abstract of "JP-4215159"	
	6-5	Abstract of "JP-5014682"	
	6-6	Abstract of "JP-5027890"	
	6-7	Abstract of "JP-5061445"	
	6-8	Abstract of "JP-5076068"	
	6-9	Abstract of "JP-5081196"	
	6-10	Abstract of "JP-5158451"	

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	7-2	Abstract of "JP-5-265977"	
	7-3	Abstract of "JP-5299984"	
	7-4	Abstract of "JP-58100587"	
	7-5	Abstract of "JP-6051729"	
	7-6	Abstract of "JP-6095639"	
	7-7	Abstract of "JP-6110520"	
	7-8	Abstract of "JP-61187066"	
	7-9	Abstract of "JP-62076838"	
	7-10	Abstract of "JP-63313256"	

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	8-1	Abstract of "JP-7015681"	
	8-2	Abstract of "JP-7046557"	
	8-3	Addendum I to User's Manual for MasterNet Version 2.00, Rose Electronics, Revision A. Date Unknown.	
	8-4	Amended Answer, Affirmative Defenses and Counterclaims with Exhibits A, B, F, H, I and M, Avocent Redmond Corp. formerly known as Apex, Inc. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York, filed Mar. 23, 2005	
	8-5	Apex PC Solutions advertisement. Date unknown.	
	8-6	APEX PC Solutions Users Guide, Manual P/N 053-0006-01, 8001/KVM, Redmond, Washington, Apr. 1993.	
	8-7	Apex PC Solutions, "Apex/Desktop Concentrator" advertisement. Date unknown.	
	8-8	Apex ViewPoint Brochure, <a href="http://www.apex.com/products/viewpoint.htm">http://www.apex.com/products/viewpoint.htm</a> .	
	8-9	Aquarium Computer blue grass micro internet server Product Brochure (in Japanese), 2 pages.	
	8-10	Avocent Redmond's Response to Raritan's Second Set of Post-Remand Requests for Production of Documents and Things (Nos. 30R-33R) [SIC: Nos. 30R-31R], Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York filed Mar 14 2005	

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	9-1	Avocent Redmond's Response to Raritan's Third Set of Post-Remand Interrogatories (No. 18R) [SIC: Nos. 18R-19R], Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York, filed Mar. 14, 2005.	
	9-2	Avocent Redmond's Supplemental Responses to Raritan's First Set of Interrogatories, Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York, filed Mar. 14, 2005.	
	9-3	Avocent Redmond's Supplemental Responses to Raritan's Second Set of Interrogatories (Nos. 20-22), Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York, filed Mar. 14, 2005.	
	9-4	Avocent Redmond's Supplemental Responses to Raritan's Second Set of Post-Remand Interrogatories (Nos. 15R-17R), Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435-PKC, United States District Court Southern District of New York, filed Mar. 14, 2005.	
	9-5	Brief for Plaintiff-Appellant Apex Inc., Apex Inc. v. Raritan Computer, Inc., Appeal No. 02-1303, United States Court of Appeals for the Federal Circuit, Jun. 3, 2002.	
	9-6	Buchsbaum, W., "RCA Model VGM 2023s 25" Color TV Receiver", Computers & Electronics, pp. 104, 106, 107, 109, Feb. 1983.	
	9-7	Carrell, J.L. and Boyle, P.R., "ONline System Concentrator", PC Magazine. Date unknown.	
	9-8	CES '87 Consumer Electronics Show: New Products, Jan. 5, 1987.	
	9-9	Cybex Computer Products Corporation 4xP & 1xP KVM Switches Guide to Applications, c1996.	
	9-10	Cybex Computer Products Corporation Director Installer/User Guide, 1.sup.st Edition, Nov. 1996.	

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	10-1	Cybex's Motion for Partial Summary Judgment of Invalidity (Anticipation of Claims 1 and 2), Apex PC Solutions, Inc. v. Cybex Computer Products Corp. and Apex PC Solutions, Inc. v. Rose Electronics, Case Nos. C98-246	
	10-2	Cybex's Motion for Partial Summary Judgment of Invalidity (Obviousness of Claims 1, 2 and 8-17), Apex PC Solutions, Inc. v. Cybex Computer Products Corp. and Apex PC Solutions, Inc. v. Rose Electronics, Case Nos.	
	10-3	Decision, Apex Inc. v. Raritan Computer, Inc., Case No. 01 CIV. 4435 (MP), United States District Court for the Southern District of New York, 187 F. Supp. 2d 141; 2002 U.S. Dist. LEXIS 3466, Feb. 25, 2002, 32 pages.	
	10-4	Decision, Apex Inc. v. Raritan Computer, Inc., Case No. 02-1303, United States Court of Appeals for the Federal Circuit, 325 F.3d 1364; 2003 U.S. App. LEXIS 6321; 66 U.S.P.Q.2D (BNA) 1444, Apr. 2, 2003, 16 pages.	
	10-5	Declaration of Christopher L. Thomas in support of Cybex's Motion for Partial Summary Judgment of Noninfringement (Cybex Director and 4XP Products), Apex PC Solutions, Inc. v. Cybex Computer Products Corp. and Apex PC Solutions, Inc. v. Rose Electronics, Case Nos. C98-246Z and C98-245Z, United States District Court Western District of Washington at Seattle	
	10-6	Declaration of Christopher L. Thomas in support of Cybex's Motion for Summary Judgment of Invalidity (Prior Art), Apex PC Solutions, Inc. v. Cybex Computer Products Corp. and Apex PC Solutions, Inc. v. Rose Electronics, Case Nos. C98-246Z and C98-245Z, United States District Court Western District of Washington at Seattle Jan. 12 1999	
	10-7	Defendant Raritan Computer, Inc.'s Amended Statement According to 35 U.S.C. sctn. 282, Avocent Redmond Corporation v. Raritan Computer, Inc., Civ. No. 01-CV-4435 (PKC), United States District Court for the Southern District of New York, Apr. 25, 2005.	
	10-8	Defendant Raritan Computer, Inc.'s First Supplemental Response to Plaintiff's First Set of Interrogatories, Apex, Inc. v. Raritan Computer, Inc., Civil Action No. 01-CV-4435 (BJS)(DFE), United States District Court Southern District of New York, Oct. 11, 2001.	
	10-9	Defendant Raritan Computer, Inc.'s Response to Plaintiff's First Set of Interrogatories, Apex, Inc. v. Raritan Computer, Inc., Civil Action No. 01-CV-4435 (BJS)(DFE), United States District Court Southern District of New York, Sep. 4, 2001.	
	10-10	Defendant Raritan Computer, Inc.'s Response to Plaintiff's Second Set of Interrogatories (Nos. 9-12), Apex, Inc. v. Raritan Computer, Inc., Civil Action No. 01-CV-4435 (BJS)(DFE), United States District Court Southern District of New York, Oct. 30, 2001.	

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	11-2	Defendant Raritan Computer, Inc.'s Second Supplemental Response to Plaintiff's Second Set of Interrogatories (Nos. 9-12), Avocent Redmond Corp. v. Raritan Computer, Inc., Case No. 1:01-cv-04435 (PKC), United States District Court Southern District of New York, Apr. 26, 2005	
	11-3	Defendant Raritan Computer, Inc.'s Supplemental Response to Plaintiff's Second Set of Interrogatories (Nos. 9-12), Apex, Inc. v. Raritan Computer, Inc., Civil Action No. 01 CV 4435 (MP), United States District Court Southern District of New York, Dec. 12, 2001.	
	11-4	Defendants Rose Electronics, Peter Macourek, Darioush "David" Rahvar, Aten Technology Inc., Aten International Co. Ltd., Belkin International, Inc. and Belkin, Inc.'s Combined Statement of Preliminary Invalidity Contentions	
	11-5	DEI Data Communications Products Brochure, pp. 1-27.	
	11-6	DEI LANtender advertisement, Network Computing, Sep. 1992, p. 184.	
	11-7	DEI LANtender, Central Control of Multiple PCs without Massive Cabling.	
	11-8	DeKerf, T and Davis, Gary D., "The Keyboard/Video Switch White Paper: A Close Look at Modern Keyboard/Video Switching", Tron International Inc. and the WorkCenter Corporation, 1995.	
	11-9	ELSNER Technologies Company letter to Raritan Computer Inc. regarding PolyCon Console Management System, May 29, 1998, 3 pages.	
	11-10	ELSNER Technologies Company MultiYCon Console Switching and Management System Product Brochure, 2 pages.	

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	12-2	ELSNER Technologies Company MultYCon NetPC Product Brochure, 1 page.	
	12-3	ELSNER Technologies Company MultYCon PolyCon Console Switching and Management System Ordering Catalog, Feb. 1996, 20 pages.	
	12-4	ELSNER Technologies Company MultYCon Price List for MultYCon Console Switching Products, Sep. 1996, 3 pages.	
	12-5	ELSNER Technologies Company PolyCon Products Brochure, 29 pages.	
	12-6	Expert Report of Sharad Malik, Ph.D. (Regarding Noninfringement and Invalidity of Beasley et al. U.S. Patent Nos. 5,884,096; 5,937,176 and 6,112,264), Apex Inc. v. Raritan Computer, Inc., Civ. No. 01 CV 4435 (MP), United States District Court Southern District of New York, Jan. 13, 2002	
	12-7	Findings and Conclusions, Apex Inc. v. Raritan Computer, Inc., Case No. 01 CIV . 4435 (MP), United States District Court Southern District of New York, Feb. 25, 2002.	
	12-8	Fox Network Systems, Inc. Net-911 Key-View System User's Reference Manual, Revision 3.3, Jul. 7, 1994.	
	12-9	General Instrument 2750R Satellite Receiver User's Guide 2700 Series, Publication No. 72089-1, Rev. C, Apr. 1990.	
	12-10	Gosch, J., "Solid-State Captions", Electronics, pp. 36-37, Apr. 1990.	

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	13-2	Intelligent Network Management System for 1,024 PCs, PolyCon Data Systems Press Release, 3 pages.	
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Application No.	10/032,325
Filing Date	March 4, 2002
First Named Inventor	Ronald J. Perholtz et al.
Group Art Unit	2145
Examiner Name	Swearingen, Jeffrey R.
Attorney Docket No.	2540-0550
Confirmation No.	6319

**NON-PATENT REFERENCES**

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	15-4	Partial Translation of JP application 5-81196	
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	16-10	Rose Electronics MasterLink Manual, c1988, pp. i-20.	

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	18-5	Videotape 30(b)(6) Deposition Transcript upon Oral Examination of Danny Beasley (vol. 1), Apex PC Solutions, Inc. v. Cybex Computer Products and Rose Electronics, Case Nos. C98-246Z and C98-245Z, United States District Court for the Western District of Washington at Seattle Dec. 9 1998. See e.g. pp. 1-8, 232-234 cited by Raritan	
	18-6	Videotape 30(b)(6) Deposition Transcript upon Oral Examination of Danny Beasley (vol. 2), Apex PC Solutions, Inc. v. Cybex Computer Products and Rose Electronics, Case Nos. C98-246Z and C98-245Z, United States District Court for the Western District of Washington at Seattle Dec. 10 1998. See e.g. pp. 384 and 581-607 cited by Raritan	
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